

This notification confirms my request to alter the 'existing' adviser listed on my current investments to the new 'appointed' adviser whose details appear below.

Investor Number _____ **Account Name** _____

Existing Financial Adviser

Name _____

New Financial Adviser (International Advisers please contact La Trobe Financial)

Name _____

Business name _____

Business address _____

Phone (business) _____ Mobile _____

Email _____

Identity verification declaration

In accordance with the Financial Services Council/Financial Planning Association Industry Guidance Note 24, I warrant that:

- customer identification has taken place under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) (**Act**), in my capacity as an Australian financial services licence (AFSL) holder or as authorised representative of an AFSL holder;
- I have completed the industry agreed 'Customer Identification Form' (ID Form) including the record of verification pursuant to FSC/FPA Industry Guidance Note No. 24 (FSC GN24); and
- I have collected and assessed the customer's tax information and believe that the tax information provided is reasonable considering the documentation provided.

La Trobe Financial and I agree that:

- a copy the ID Form is not required to be provided at account opening;
- copies of the completed ID Form and any records related to customer identification will be retained and managed by me. I agree that La Trobe Financial is authorised to access the records and/or conduct audits of the records at any time; and
- I will notify La Trobe Financial if I am no longer able to retain the records and deliver the records to La Trobe Financial at my own cost.

Acceptance of the application form constitutes acceptance by La Trobe Financial.

I understand and agree that La Trobe Financial is authorised to conduct random audits of these records in accordance with its obligations under the Act.

Signature of financial adviser _____ **Date** _____

Investor Directed Payments to your Financial Adviser (optional)

This section is optional and you may revoke our appointment as your agent at any time by giving us notice in writing.

Ongoing payment: _____ % p.a. (Maximum payable 0.50%). Only applicable to 12 Month Investment and 2 Year Investment Accounts. Please refer to Section 11 of the La Trobe Australian Credit Fund Product Disclosure Statement for further details.

I/We appoint **La Trobe Financial** as my/our agent for the sole purpose of paying certain amounts to my/our financial adviser (as identified below) on my/our behalf. I/We direct La Trobe Financial to pay the amounts as calculated above to my financial adviser.

Signature of Investor _____	Signature of Investor _____
Investor name _____	Investor name _____
Date _____	Date _____

I confirm that I have clearly disclosed and explained the amount of the payments described above and that the client has understood the payment arrangements.

Name of financial adviser _____

Signature of financial adviser _____ **Date** _____

Investor/Authorised Signatures

I confirm that I understand the consequences of my decision to change advisers, including:

I understand that my 'existing' adviser:

- will no longer be remunerated for my investments following this decision;
- will no longer have access to my information.

I understand that my newly 'appointed' adviser will:

- give me advice relating to investments in the future;
- be remunerated for reviewing the appropriateness of investments to my needs on a regular basis; and
- have access to my information and will therefore be responsible for looking after my needs.

Signature of: Individual Director Secretary

*Place common seal
here if required*

Signature of: Individual Director Secretary

Signature

Signature

Full name

Full name

Date

do not sign

Date

RETURN COMPLETED, SIGNED FORM TO:

La Trobe Financial
GPO Box 2289,
Melbourne Victoria 3001 Australia
 OR
investor@latrobefinancial.com.au

ENQUIRIES:

For all enquiries please email: **investor@latrobefinancial.com.au**
 Toll Free: **1800 818 898**