

Thank you for registering with La Trobe Direct. Your application is in progress. To complete your registration, we will need the documents identified below. We will then complete your registration and you will be ready to invest.

Identity Verification for Australian Residents

We are required by the Anti-Money Laundering & Counter-Terrorism Financing laws to verify the identity of each applicant.

CERTIFIED DOCUMENTATION: For your document to be correctly certified, please refer to the *Certification of documents – Who can certify?* section below. Documents not in English must be accompanied by an English translation prepared by an accredited translator.

Electronic identification requirements include:

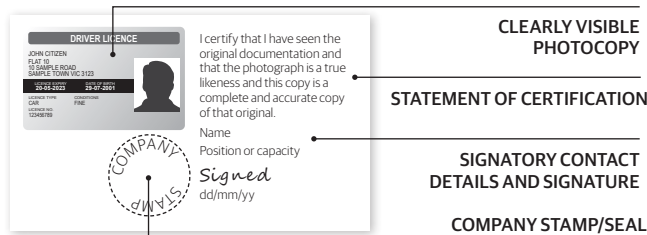
- One certified primary photographic identification document (Category A); **OR**
- One certified primary non-photographic identification document **PLUS** one secondary identification document (Category B).

Category A:

A certified copy (certified within the last 12 months) of **ONE** of the following documents that contains your photo and full name:

- Australian driver's licence (current)
- Australian passport (current or expired less than 2 years)
- Current Australian State or Territory photo ID card

Example:



Category B:

A certified copy (certified within the last 12 months) of document from **BOTH** i and ii below:

- i • Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Centrelink
- Health care card issued by Federal or State Government; **AND**
- ii A notice that contains your name and residential address which was issued to you by **EITHER**:
 - The Commonwealth or a State or Territory within the preceding 12 months and records the provision of financial benefits; **OR**
 - The Australian Taxation Office within the preceding 12 months and records a debt payable by or to you; **OR**
 - A local government body or utilities provider within the preceding three months and records the provision of services to you at your residential address.

OR

Certification of documents

Who can certify?

Anyone listed on the Federal Attorney General's website may certify a document (www.ag.gov.au/legal-system/statutory-declarations/about-commonwealth-statutory-declarations/who-can-witness-commonwealth-statutory-declaration).

We will also accept documents certified by a person who is licensed or registered to practice the following occupations:

- | | | |
|------------------------|-------------------|------------------------|
| • Chiropractor | • Nurse | • Physiotherapist |
| • Dentist | • Optometrist | • Psychologist |
| • Legal practitioner | • Patent attorney | • Trade marks attorney |
| • Medical practitioner | • Pharmacist | • Veterinary surgeon |

If you need a document certified **outside Australia** we will accept a document certified by the following:

- a staff member at an Australian Consulate
- a person authorised by law in that jurisdiction to administer oaths or affirmations or to authenticate documents (for example, a Notary Public or a lawyer)

What must the certifying officer do?

A person authorised to certify a document (see above) must:

1. Attest that the document is a true copy of the original by:
 - (a) For a document with a photo:

"I certify that I have seen the original documentation and that the photograph is a true likeness and this copy is a complete and accurate copy of that original."
 - (b) For a document without a photo:

"I certify that I have seen the original documentation and this copy is a complete and accurate copy of that original."
2. Sign the copy of the document they are certifying
3. Add their name along with the position or capacity to the document
4. Affix their official stamp or seal (if applicable)

Send your certified copies of your identification documents to:

La Trobe Financial, GPO Box 2289, Melbourne Victoria 3001 Australia or email to: investor@latrobefinancial.com.au